

Item 1 - Cover Page

SCHEDULE 2B - BROCHURE SUPPLEMENT

Mark R. Roberts, ChFC®

November 30, 2020

CLIENT ONE SECURITIES, LLC

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This Brochure Supplement provides information about Mark R. Roberts that supplements the Client One Securities, LLC (“C1S”) brochure. You should have received a copy of that brochure. Please contact Jeffrey Eisenhauer if you did not receive C1S's brochure or if you have any questions about the contents of this supplement.

Additional information about Mark R. Roberts (CRD No. 2734867) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Mr. Roberts was born in 1974. He attended Avila University from 1992 to 1996 and graduated from Friends University in 1997 with a BA in Finance. He has also earned the designation of Chartered Financial Consultant (ChFC)®.

He has been in the financial services industry for over 20 years. From June of 1996 until February of 2008 he was a Financial Representative with Thrivent Investment Management. From March of 2008 until May of 2009 he was a Financial Representative with Cutter & Co., Inc. He was a Financial Representative with Broker Dealer Financial Services Corp from May of 2009 until August of 2011 when he joined C1S as a Registered Representative and an Investment Advisor Representative. In November of 2020 he also registered as an Investment Advisor Representative with ChangePath, LLC. He has been President of Affinity Asset Management, LLC since March of 2008.

He has successfully passed the FINRA Series 6 Investment Company Products/Variable Contracts Representative Exam, Series 7 General Securities Representative Exam, Series 63 Uniform Securities Agent State Law Exam and the Series 65 Uniform Investment Adviser Law Exam.

The ChFC® designation is granted by The American College after successfully completing the program which requires the candidate to complete 7 required courses including Financial Planning: Process and Environment, Fundamentals of Insurance Planning, Income Taxation, Planning for Retirement Needs, Investments, Fundamentals of Estate Planning and Financial Planning Applications; 2 elective courses; complete three years of full-time business experience; agree to comply with The American College Code of Ethics and Procedures; and complete on-going continuing education requirements.

Item 3 - Disciplinary Information

Mr. Roberts does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Item 4 - Other Business Activities

Mr. Roberts is also licensed to sell insurance products through various insurance companies and securities through C1S. He spends approximately 30% of his time on these activities. He is licensed to sell Life insurance in Arkansas, Arizona, Colorado, District of Columbia, Florida, Illinois, Iowa, Kansas, Maryland, Massachusetts, Missouri, North Carolina, Ohio, Oklahoma, Tennessee, Texas, Virginia, and Wisconsin.

Mr. Roberts is also associated with ChangePath, LLC, a Registered Investment Advisor. He provides consulting services to investment adviser representative of ChangePath and C1S, primarily with respect to marketing, back office operations, and client meetings.

Item 5 - Additional Compensation

Mr. Roberts may receive commissions for the sale of insurance and securities products. He does not receive any additional economic benefit from third parties for providing advisory services other than as noted above

Item 6 - Supervision

Mr. Roberts is supervised by Jeffrey Eisenhauer, C1S's Chief Compliance Officer. His contact information can be found on the cover page of this Schedule 2B supplemental brochure.

Mr. Eisenhauer and other individuals as he may designate, regularly review the accounts receiving investment advisory services to monitor for suitability of recommendations and compliance with regulatory and internal procedures.